Express Mail: EF098981588US

Attach + upaperno'.

TPE	70			•	1 1			Page <u>1</u> of <u>2</u>			
<u>/0</u>					ney Docket	Serial No.:	RECEIVED				
DEC 2 7 2001 FORM PTO-1449					4133US2	09/530,935	ILCLIVED				
DEC 2					Applicant:						
1	C. /	ISCLOSURE CI	TATION	HEARING, P. et al. Filing Date: Group Art Unit:							
TATE TRADEN					Date: mber 29, 2000	Group Art Unit					
Q IN			11.5		NT DOCUMENTS	1033 10 32	ILOHOLIV	<u>1211 1000/290</u> 0			
Examiner		·	0.5.	IAIL	INI DOCUMENTS		Sub-				
Initial		Patent Number	Issue Dat	te	Name	Class	Class	Filing Date			
			EODEL	CNDA	TENT DOCUMENTS						
Examiner			Publication		TENT DOCUMENTS		Sub-				
Initial		Patent Number	Date) I	Country	Class	Class	Translation			
BM	/	0 392 691	17 Oct 199	90 Eı	uropean Patent Publication			Yes No			
17.1					Including Author, Title, D	late etc.)					
0	Culoudd Cil			,			rahialam; and	Immunologi			
BM	Schmid, S.I., Hearing, P., "Selective encapsidation of adenovirus DNA," <u>Current Topics in Microbiology and Immunology</u> vol. 199, no. 1, 1995, pages 67-80.										
	Schmid, S.I., Hearing, P., "Bipartite structure and functional independence of adenovirus 5 packaging elements." <u>Journal of Virology</u> . vol. 71, no. 5, May 1997, pages 3375-3384.										
	O'Connor, R.J. et al., "The C-Terminal 70 amino acids of the adenovirus E4-ORF6/7 protein are essential and sufficient for E2F complex formation," <u>Nucleic Acids Research</u> , vol. 19, no 23, December 11, 1991, pages 6579-6586.										
	Schmid, S.I., Hearing, P., "Cellular components interact with adenovirus type 5 minimal DNA packaging domains," <u>Journal of Virology</u> , vol. 72, no. 8, August 1998, pages 6339-6347.										
	Dull, T. et al., "A third-generation lentivirus vector with a conditional packaging system," <u>Journal of Virology</u> , vol. 72. no. 11, October 1998, pages 8463-8471.										
	Taggart, A. et al. "The TATA-binding protein and associated factors are components of Pol III transcription factor TFIIIB," Cell, vol. 71, no. 6, 1992, pages 1015-1028.										
	D'Halluin, J.C. et al., "Effects of novobiocin on adenovirus DNA synthesis and encapsidation," <u>Nucleic Acid Research</u> , vol. 8, no. 7, 1980, pages 1625-1641.										
	Huang, M.M., Hearing, P., "The adenovirus early region 4 open reading frame 6/7 protein regulates the DNA binding activity of the cellular transcription factor, E2F, through a direct complex," Genes and Development, vol. 3, 1989, pages 1699-1710.										
	Grable, M., Hearing, P., "Adenovirus Type 5 Packaging Domain is Composed of a Repeated Element That Is Functionally Redundant," <u>Journal of Virology</u> , vol. 64, no. 5, 1990, pages 2047-2056.										
	Grable, M., Hearing, P., "cis and trans requirements for the selective packaging of adenovirus type 5 DNA." <u>Journal of Virology</u> , vol. 66, no. 2, 1992, pages 723-731.										
Dr.	Hearing, P., et al., "Identification of a repeated sequence element required for efficient encapsidation of the adenovirus type 5 chromosome," <u>Journal of Virology</u> , vol. 61, no. 8, 1987, pages 2555-2558.										
Examiner	Dunk				Date Considered						
EXAMINER:	Draw line th	rence considered, whethe rough citation if not in co., of this form with next co.	nformance and:	not consi							

RECEIVED 2 of													
	FORM	M PTO-1449 DEC 2	uttorney Docket: 927-4133US2	Serial No.: 09/530.935 JAN 0 7 2002									
INFORM		ISCLOSU LE CIT	CATION H	Applicant: IEARING, P. et al. iling Date:	et al. TECH CENTER 1600/2		00/2900						
		01	3	eptember 29, 2000	1633 1635								
U.S. PATENT DOCUMENTS Examiner Sub-													
Initial		Patent Number	Issue Date	Name	Class	Class	Filing Date						
FOREIGN PATENT DOCUMENTS													
Examiner Initial		Patent Number	Publication Date	Country	Class	Sub- Class	Translation						
							☐ Yes ☐ No						
OTHER DOCUMENTS (Including Author, Title, Date, etc.)													
· B,W	Wang, L.H., et al., 1989, "COUP transcription factor is a member of the steroid receptor superfamily." Nature, 340:163-166.												
	Tsai, S.Y., et al. 1997, "Chick ovalbumin upstream promoter-transcription factors (COUP-TFs): Coming of Age." Endocrine Reviews. 18(2):229-240.												
	Pastorcic, M., et al., 1986, "Control of transcription iniation <i>in vitro</i> requires binding of a transcription factor to the distal promoter of the ovalbumin gene." Mol. Cell. Biol., 6:2784-2791.												
	Park, HY., et al., 1993, "The herpes simplex virus thymidine kinase gene promoter contains a novel thyroid hormone response element," Mol. Endocrin, 7(3):319-330.												
	Garcia, et al., 1993, "Functional interaction of nuclear factors EF-C, HNF-4, and RXRα with hepatitis B virus enhancer I," J. Virol., 67(7):3940-3950.												
	Cooney, A., et al., 1991, "Chicken ovalbumin upstream promoter transcription factor binds to a negative regulatory region in the human immunodeficiency virus type 1 long terminal repeat," J. Virol., 65(6):2853-2860.												
,	Lee, M., et al., 1994, "A synthetic retinoid antagonist inhibits the human immunodeficiency virus type 1 promoter," Proc. Natl. Acad. Sci. USA, 91:5632-5636.												
Į.	Liu, X., et al., 1994, "Negative regulation by the R2 element of the MHC class I enhancer in adenovirus-12 transformed cells correlates with high levels of COUP-TF binding," Oncogene, 9:2183-2190.												
br	Zuo, F., et al., 1995, "Simian virus 40 late gene expression is regulated by members of the steroid/thyroid hormone receptor superfamily," Proc. Natl. Acad. Sci., USA , 92:8586-8590.												
Examiner	n m			Date Considered									
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP \$609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.													